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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY DOCKET NO. LYBZ 2 00094 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/536473 |
| INTERNATIONAL APPLICATION NO. PCT/EP03/11770 | INTERNATIONAL FILING DATE 24 OCTOBER 2003 | PRIORITY DATE CLAIMED 29 NOVEMBER 2002 |
| TITLE OF INVENTION BALL BEARING AND A VACUUM PUMP THAT IS EQUIPPED WITH A BEARING OF THIS TYPE | | |
| APPLICANT(S) FOR DO/EO/US Heinrich ENGLÄNDER; Karl-Heinz VÖLKER; Wolfgang SCHULZ | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO Form 1449 and References (if required). 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information: | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/536473 | | INTERNATIONAL APPLICATION NO. PCT/EP03/11770 | | ATTORNEY DOCKET NO. LYBZ 2 00094 | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee..... \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200 | | | | \$ 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 | | | | \$ 400.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| - 100 = | /50 = | | x \$250 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 16 | - 20 = | x \$ 50 | \$ | |
| Independent claims | 2 | - 3 = | x \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 900.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 940.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>940.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0308</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Thomas E. KOCOVSKY, Jr. FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP 1100 Superior Avenue, Seventh Floor Cleveland, OH 44114-2518 (216) 861-5582 (tel); (216) 241-1666 (fax)</p> | | | | | |
| | | | | SIGNATURE | |
| | | | | Thomas E. KOCOVSKY, Jr. | |
| | | | | NAME | |
| | | | | 28,383 | |
| | | | | REGISTRATION NUMBER | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of |) | Examiner: |
| H. ENGLÄNDER, et al. |) | |
| |) | Art Unit: |
| Serial No.: Unknown |) | |
| |) | Confirmation: |
| Filed: Herewith |) | |
| |) | Customer No.: 27,885 |
| For: BALL BEARING AND A |) | |
| VACUUM PUMP THAT IS |) | |
| EQUIPPED WITH A |) | |
| BEARING OF THIS TYPE |) | |
| |) | |
| Attorney Docket No.: |) | Cleveland, OH 44114 |
| LYBZ 2 00094 |) | May 25, 2005 |

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Mail Stop: PCT
 Commissioner For Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

"Express Mail" Mailing Label Number: EV 494959982 US
 Date of Deposit: MAY 25, 2005

I hereby certify that the attached **TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 and accompanying documents** are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Hilary M. McNulty
 Hilary M. McNULTY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------------|---|----------------------|
| In re application of |) | Examiner: |
| H. ENGLÄNDER, et al. |) | |
| |) | Art Unit: |
| Serial No.: Unknown |) | |
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| EQUIPPED WITH A |) | |
| BEARING OF THIS TYPE |) | |
| |) | |
| Attorney Docket No.: |) | Cleveland, OH 44114 |
| LYBZ 2 00094 |) | May 25, 2005 |

SUBMISSION OF VERIFIED TRANSLATION

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The applicant is filing herewith a Verified Translation of the priority
German-language Patent PCT Application No. PCT/EP03/01770.

The verified English translation of the application comprises:

7 pages of Specification
3 pages of Claims
1 page of Abstract

Certificate of EXPRESS MAIL

"Express Mail" Mailing Label Number EV494959982US

Date of Deposit: MAY 25, 2005

I hereby certify that this paper or fee is being deposited
with the United States Postal Service "Express Mail
Post Office to Addressee" service under 37 C.F.R. 1.10 on the
date indicated above and is addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450.

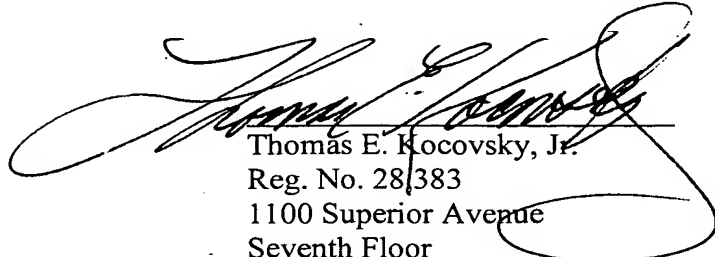
Hilary M. McNulty
Hilary M. McNULTY

-2-

Early notice that the filing requirements have been met and an early allowance of this application is respectfully requested.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP



Thomas E. Kocovsky, Jr.
Reg. No. 28383
1100 Superior Avenue
Seventh Floor
Cleveland, OH 44114-2518
(216) 861-5582

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of |) | Examiner: |
| H. ENGLÄNDER, et al. |) | |
| |) | Art Unit: |
| Serial No.: Unknown |) | |
| |) | Confirmation: |
| Filed: Herewith |) | |
| |) | Customer No.: 27,885 |
| For: BALL BEARING AND A |) | |
| VACUUM PUMP THAT IS |) | |
| EQUIPPED WITH A |) | |
| BEARING OF THIS TYPE |) | |
| |) | |
| Attorney Docket No.: |) | Cleveland, OH 44114 |
| LYBZ 2 00094 |) | May 25, 2005 |

SUBMISSION OF FORMAL DRAWINGS

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

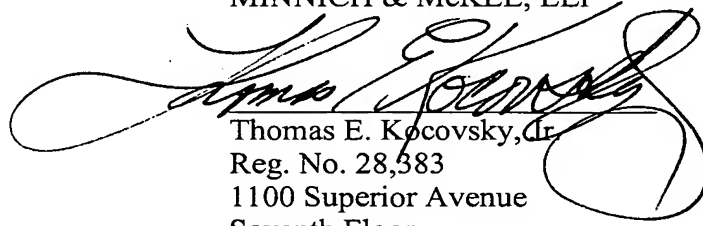
Dear Sir:

The applicants are enclosing two (2) sheets of Formal Drawings, including FIGURES 1-5.

An early indication of the acceptance of the Formal Drawings is earnestly solicited.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

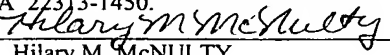

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Date of Deposit: MAY 25, 2005

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Hilary M. McNULTY